

**COMMUNICATING A CHANNEL-CHANGE EVENT FROM A SET TOP BOX TO A  
DIGITAL VIDEO RECORDER**

**Cross Reference to Related Applications and Patents**

This application is related to co-pending U.S. patent application serial no. 09/652,730 filed on 08/31/200 entitled "ELECTRONIC PROGRAM GUIDE SUBSYSTEM FOR RECEIVING AND PROCESSING ELECTRONIC PROGRAM GUIDE INFORMATION FROM A SET- TOP BOX", the disclosure of which is herein incorporated by reference.

**BACKGROUND OF THE INVENTION**

**Field of the Invention**

The present invention relates to digital video recorders. More particularly, the present invention relates to communicating a channel-change event from a set top box to a digital video recorder.

**Description of the Prior Art**

FIG. 1A shows a prior art digital video recorder or DVR 2 installed between a set top box or STB 4 (e.g., a tuner for digital cable) and a monitor 6. The STB 4 demodulates a selected channel from a program signal 5 and provides an audio and video (A/V) signal 7 to the DVR 2. The DVR 2 may record the A/V signal 7 for playback at a later time, or pass the A/V signal 7 through to the monitor 6 as A/V signal 9. The DVR 2 further comprises a modem for receiving Electronic Program Guide (EPG) data over a telephone line 8. The user operates a remote control 10 to direct the DVR 2 to display the EPG data on the monitor 6 in a DVR graphical user interface (GUI). The user can peruse the EPG data in the DVR GUI to select various programs to view and/or record.

The remote control 10 is also used to control the operation of the STB 4; that is, the remote control 10 of the DVR 2 is intended to replace the remote control of the STB 4. Commands are sent from the DVR 2 to the STB 4 over a control line 12. For example, if the user selects a new channel with the remote control 10, the DVR 2 commands the STB 4 to tune to the

1 selected channel. In another example, if a program the user previously selected for recording  
2 begins to air, the DVR 2 directs the STB 4 to tune to the appropriate channel.

3 Although the remote control 10 of the DVR 2 is intended to replace that of the STB 4, the  
4 user can still control the operation of the STB 4 using its remote control. In addition, the user  
5 may operate the STB 4 (e.g., change the channel) by pressing buttons on the faceplate of the STB  
6 4. Prior art DVRs have no way of knowing if the user has changed the channel by controlling the  
7 STB 4 directly which can disrupt the proper operation of the DVR 2.

8 There is, therefore, a need for a DVR capable of detecting when a user has changed a  
9 channel tuned by a STB.

## 10 SUMMARY OF THE INVENTION

11 The present invention may be regarded as a digital video recorder (DVR) for use with a  
12 monitor and a set top box (STB), the STB for demodulating program data by tuning to at least  
13 one of a plurality of channels and comprises a DVR interface. The DVR comprises a local  
14 memory for storing the program data received from the STB, and a STB interface for  
15 communicating with the STB over the DVR interface. The DVR further comprises a DVR  
16 controller for communicating control data to direct the STB to tune to a selected channel, and for  
17 receiving a channel-change event from the STB in connection with the STB changing the tuned  
18 channel.

19 In one embodiment, the channel-change event is received by the DVR after the STB  
20 changes the tuned channel. In another embodiment, the channel-change event is received by the  
21 DVR when a user directs the STB to change the tuned channel and before the STB changes the  
22 tuned channel. In still another embodiment, the DVR transmits a control signal to the STB  
23 authorizing the STB to change the tuned channel.

24 The present invention may also be regarded as a set top box (STB) for use with a monitor  
25 and a digital video recorder (DVR), the DVR comprising a STB interface. The STB comprising a  
26 tuner for demodulating program data by tuning to at least one of a plurality of channels, wherein  
27 the program data is communicated to the DVR for recording. The STB further comprising a

1 DVR interface for communicating with the DVR over the STB interface, and a STB controller.  
2 The STB controller for receiving control data from the DVR for directing the STB to tune to a  
3 selected channel, and for communicating a channel-change event to the DVR in connection with  
4 the STB changing the tuned channel.

5 The present invention may also be regarded as a computer program embodied on a  
6 computer readable storage medium for use in a digital video recorder (DVR), the DVR for use  
7 with a monitor and a set top box (STB). The STB for demodulating program data by tuning to at  
8 least one of a plurality of channels, and the DVR comprising a local memory. The computer  
9 program comprises code segments for receiving the program data from the STB, and for storing  
10 the program data in the local memory. The computer program further comprises code segments  
11 for communicating control data to direct the STB to tune to a selected channel, and for receiving  
12 a channel-change event from the STB in connection with the STB changing the tuned channel.

13 The present invention may also be regarded as a computer program embodied on a  
14 computer readable storage medium for use in a set top box (STB), the STB for use with a  
15 monitor and a digital video recorder (DVR). The computer program comprises code segments  
16 for demodulating program data by tuning to at least one of a plurality of channels, and for  
17 communicating the program data to the DVR for recording. The computer program further  
18 comprises code segments for receiving control data from the DVR for directing the STB to tune  
19 to a selected channel, and for communicating a channel-change event to the DVR in connection  
20 with the STB changing the tuned channel.

## 21 **BRIEF DESCRIPTION OF THE DRAWINGS**

22 FIG. 1A shows a prior art DVR for use with a STB and a monitor, wherein the user  
23 interacts only with the DVR GUI which replaces the STB GUI.

24 FIG. 1B shows a STB and DVR according to an embodiment of the present invention  
25 wherein the STB communicates a channel change event to the DVR when the user controls the  
26 STB directly to change the channel.

27 FIGs. 2A and 2B show an embodiment of the present invention wherein a DVR

1 communicates with the STB to coordinate whether the monitor displays the STB GUI or the  
2 DVR GUI.

3 FIG. 2C shows a DVR according to an embodiment of the present invention as comprising  
4 suitable circuitry for displaying the A/V signal received from the STB (including the STB GUI),  
5 or the A/V signal generated internally (including the DVR GUI).

6 FIG. 2D shows an embodiment of the present invention wherein the DVR receives  
7 electronic program guide (EPG) data over a dedicated communication channel.

8 FIG. 3A shows an embodiment of the present invention wherein a STB receives  
9 commands from a remote control and forwards the commands to the DVR when the DVR GUI is  
10 displayed on the monitor.

11 FIG. 3B shows an embodiment of the present invention wherein a DVR receives  
12 commands from a remote control and forwards the commands to the STB when the STB GUI is  
13 displayed on the monitor.

14 FIG. 4A is a state diagram illustrating an embodiment of the present invention wherein the  
15 DVR and STB cooperate to enable user interaction with both the STB menu and the DVR menu.

16 FIG. 4B is a state diagram illustrating an embodiment of the present invention wherein the  
17 STB transmits a channel-change event to the DVR whenever the user controls the STB directly to  
18 change the tuned channel.

19 FIG. 5 shows an embodiment of the present invention wherein a DVR comprises an A/V  
20 file system for storing A/V data.

21 FIG. 6 is a flow diagram according to an embodiment of the present invention wherein the  
22 STB receives a list of programs recorded as well as programs scheduled for recording from the  
23 DVR and uses the program lists to display EPG data in the STB GUI.

24 FIG. 7A shows an example STB GUI according to an embodiment of the present  
25 invention comprising a program guide having indicators of the programs recorded by the DVR as  
26 well as programs scheduled for recording by the DVR.

27 FIG. 7B shows an example DVR GUI according to an embodiment of the present

invention comprising options for manipulating the DVR and an option for displaying the STB GUI.

FIG. 8 shows an example STB GUI according to an embodiment of the present invention comprising an option for displaying the DVR GUI.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1B shows a digital video recorder (DVR) 12 for use with a monitor 14 and a set top box (STB) 16 according to an embodiment of the present. The STB 16 for demodulating program data by tuning to at least one of a plurality of channels, and the STB 16 comprising a DVR interface 34. The DVR 12 comprises a local memory 22 for storing the program data received from the STB 16, and a STB interface 24 for communicating with the STB over the DVR interface 34. The DVR 12 further comprises a DVR controller 26 for communicating control data to direct the STB to tune to a selected channel, and for receiving a channel-change event from the STB 16 in connection with the STB 16 changing the tuned channel.

In one embodiment, the channel-change event is received by the DVR 12 after the STB 16 changes the tuned channel. In another embodiment, the channel-change event is received by the DVR 12 when a user directs the STB 16 to change the tuned channel and before the STB 16 changes the tuned channel. In still another embodiment, the DVR 12 transmits a control signal to the STB 16 authorizing the STB 16 to change the tuned channel. These embodiments are described in greater detail below with reference to FIG. 4B.

FIGS. 2A and 2B show a digital video recorder (DVR) 12 for use with a monitor 14 and a set top box (STB) 16 according to another embodiment of the present invention. The STB 16 for demodulating program data from a program signal 18 received over a communication channel and for generating a STB graphical user interface (GUI) 20. The STB further comprises a DVR interface 34. The DVR 12 comprises a local memory 22 for storing the program data received from the STB 16, a STB interface 24 for communicating with the STB 16 over the DVR interface 34, and a DVR controller 26. The DVR controller 26 for generating a DVR GUI 28 (FIG. 2B), and in response to user input, communicating with the STB 16 to coordinate whether the monitor

1 14 displays the STB GUI 20 (FIG. 2A) or the DVR GUI 28 (FIG. 2B).

2 In the embodiment of FIGs. 2A and 2B, the STB 16 comprises a tuner 30 for  
3 demodulating the program data from the program signal 18, and a STB controller 32 for  
4 generating the STB GUI 20 and for communicating with the DVR 12 to coordinate whether the  
5 STB GUI 20 or the DVR GUI 28 is displayed on the monitor 14.

6 The STB 16 may be a cable set top box for receiving cable signals, a satellite set top box  
7 for receiving satellite signals, or any other similar device for receiving the program signal 18  
8 carrying the modulated program data. The program signal 18 may be modulated at any suitable  
9 frequency, such as radio or optical frequencies, using any suitable modulation algorithm, such as  
10 quadrature amplitude modulation (QAM). The program signal 18 may be demodulated in  
11 continuous time wherein the modulated program data is transmitted in analog form, or  
12 alternatively, in discrete-time wherein the modulated program data is transmitted in digital form.  
13 Further, any suitable compression algorithm may be employed to transmit the modulated program  
14 data, such as MPEG encoding.

15 In one embodiment, the DVR interface 24 and STB interface 34 implement a serial  
16 communication protocol, such as the Universal Serial Bus (USB) communication protocol.  
17 However, the interfaces may implement any suitable digital communication protocol, such as the  
18 1394 communication protocol.

19 In the embodiment of FIGs. 2A and 2B, the program data is communicated from the STB  
20 16 to the DVR 12 as separate audio and video (A/V) signals 35 which may be analog or digital.  
21 In one embodiment, the A/V signal 35 is communicated via a dedicated interface using a separate  
22 communication channel, and in an alternative embodiment the A/V signal 35 is communicated via  
23 the STB interface 34 and DVR interface 24 over a common communication channel, such as a  
24 1394 communication channel, as indicated by the dashed line 36 in FIGs. 2A and 2B.

25 The local memory 22 of the DVR 12 stores the program data (A/V signal 35) in digital  
26 form, and in one embodiment, in a compressed form such as MPEG. In one embodiment, the  
27 DVR 12 receives the A/V signal 35 in uncompressed form (analog or digital) and comprises

1 suitable circuitry for performing the desired compression before storing the program data in the  
2 local memory 22 in compressed form. In an alternative embodiment, the DVR 12 receives the  
3 program data in compressed form for storing directly to the local storage 22. In the embodiment  
4 of FIGs. 2A and 2B, the DVR 12 further comprises suitable circuitry for decompressing the  
5 program data into an internal A/V signal supplied to the monitor 14 during play back.

6 FIG. 2C shows details of suitable circuitry implemented by the DVR 12 according to an  
7 embodiment of the present invention. The A/V signal 35 received from the STB 16 is encoded by  
8 encoder 21 (e.g., according to the well known MPEG format). The encoded program data 23  
9 may be stored by the local storage 22 for playback at a later time. A multiplexer 25 selects  
10 between the real-time encoded program data 23 or program data 27 stored by the local memory  
11 22, and the selected program data is decoded by decoder 29. The DVR controller 26 comprises  
12 an on-screen display module (OSD) 31 for generating the DVR GUI 28 in a layered manner,  
13 including an A/V layer comprising the decoded A/V signal 33 output by decoder 29. An adder 41  
14 adds the decoded A/V signal 33 with the GUI data output by the OSD 31 to generate an A/V  
15 signal 43 representing the DVR GUI 28. A multiplexer 45 selects between the A/V signal 35  
16 received from the STB 16, the decoded A/V signal 33 output by decoder 29, and the A/V signal  
17 43 representing the DVR GUI 28. The multiplexer 45 outputs the A/V signal 37 supplied to the  
18 monitor 14. When the user selects the A/V signal 35 from the STB for display on the monitor 14  
19 (including the STB GUI 20), the multiplexer 45 selects the A/V signal 35 received from the STB  
20 16. When the user selects the DVR GUI 28 for display on the monitor 14, the multiplexer 45  
21 selects the A/V signal 43 representing the DVR GUI 28. When the user selects recorded program  
22 data for display on the monitor 14, the multiplexer selects the decoded A/V signal 33 output by  
23 the decoder 29. The DVR 12 may continue to record the A/V signal 35 received from STB 16  
24 independent of the user's selection as to which A/V signal is displayed on the monitor 14. This  
25 enables various trick-play features, such as pausing a real-time program.

26 In one embodiment the STB 16 transmits a control signal to the DVR 12 requesting  
27 "focus" of the monitor 14 when the user selects the STB GUI 20 be displayed. In this manner the

DVR 12 will select the A/V signal 35 from the STB 16 for display on the monitor 14 rather than the A/V signal 33 or 43 generated internally by the DVR 12.

In the embodiment of FIGs. 2A and 2B, the STB 16 receives Electronic Program Guide (EPG) data 38 for use in rendering at least one of the STB GUI 20 and the DVR GUI 28. The EPG data 38 is digital data that may be sent to the STB 16 over a dedicated channel (e.g., over a telephone or cable modem, Ethernet, or other network channel) or over the same channel as the program signal 18 carrying the modulated program data. In one embodiment the EPG data 38 is communicated to the DVR 12 over the STB interface 34 and DVR interface 24. The DVR 12 uses the EPG data to render the DVR GUI as described in greater detail in the above referenced patent application entitled "ELECTRONIC PROGRAM GUIDE SUBSYSTEM FOR RECEIVING AND PROCESSING ELECTRONIC PROGRAM GUIDE INFORMATION FROM A SET-TOP BOX". In an alternative embodiment illustrated in FIG. 2D, the DVR 12 comprises suitable circuitry for receiving the EPG data over a dedicated communication channel 49 (e.g., over a telephone or cable modem, Ethernet, or other network channel).

FIG. 3A shows an embodiment of the present invention wherein the STB 16 receives a command representing the user input from a remote control 40 (e.g., via an infrared interface). When the STB GUI 20 is displayed on the monitor 14, the STB 16 processes the command received from the remote control 40, and when the DVR GUI 28 is displayed on the monitor 14, the STB 16 communicates the command received from the remote control 40 to the DVR 12. In an alternative embodiment shown in FIG. 3B, the DVR 12 receives a command representing the user input from a remote control 40. When the DVR GUI 28 is displayed on the monitor 14, the DVR 12 processes the command received from the remote control 40, and when the STB GUI 20 is displayed on the monitor 14, the DVR 12 communicates the command received from the remote control 40 to the STB 16.

FIG. 4A is a state diagram illustrating an embodiment of the present invention wherein the DVR and STB cooperate to enable user interaction with both the STB GUI and the DVR GUI. When the system is initialized (powered on), the initial state 42 transmits a command to the DVR



1 12 to configure the DVR 12 so that the A/V signal 35 from the STB 16 is displayed on the  
2 monitor 14. The system then enters state 44 indicating that the STB 16 has focus of the monitor  
3 14. At state 46 the system waits for user input (e.g., from the remote control 40). When user  
4 input is received, the system enters state 48 to evaluate the system mode. If the STB 16 currently  
5 has focus of the monitor 14, then at state 50 the user input is evaluated to determine whether the  
6 user has selected the option to display the DVR GUI. If not, the STB 16 processes the user input  
7 at state 52 to perform the appropriate STB function (e.g., change the tuned channel).

8 If at state 50 the user input indicates the user desires the DVR GUI to be displayed, then a  
9 command is sent to the DVR 12 so that the A/V signal generated internal to the DVR 12  
10 (including the DVR GUI) is displayed on the monitor 14. That is, focus of the monitor 14 is  
11 transferred to the DVR 12 at state 54.

12 If at state 48 the DVR 12 has focus of the monitor 14, then the user input is  
13 communicated from the STB 16 to the DVR 12 for processing. At state 56 the user input is  
14 evaluated to determine whether the user has selected the option to display the STB GUI. If not,  
15 the DVR 12 processes the user input at state 58 to perform the appropriate DVR function. The  
16 system then returns to state 46 to wait for more user input.

17 If at state 56 the user input indicates the user has selected the option to display the STB  
18 GUI, then the DVR 12 is configured to display the A/V signal 35 from the STB 16 and a  
19 command is communicated to the STB 16 to display the STB GUI. The system then enters state  
20 44 indicating that the STB 16 has focus of the monitor 14.

21 FIG. 4B is a state diagram illustrating an embodiment of the present invention wherein the  
22 STB 16 transmits a channel-change event to the DVR 12 whenever the user controls the STB 16  
23 directly to change the tuned channel. The state diagram of FIG. 4B is essentially the same as the  
24 state diagram of FIG. 4A with an additional state 60 which transmits the channel-change event  
25 from the STB 16 to the DVR 12 in connection with the STB 16 changing the tuned channel. In  
26 one embodiment, the channel-change event is transmitted to the DVR 12 before the STB 16  
27 actually changes the tuned channel. In this manner, the DVR 12 can warn the user that changing

1 the tuned channel will affect the operation of the DVR 12, allowing the user to abort the  
2 operation if desired. In this embodiment, the DVR 12 transmits a control signal to the STB 16  
3 authorizing the STB 16 to change the tuned channel (or abort the operation).

4 In one embodiment, the DVR 12 comprises a plurality of program identifiers. The DVR  
5 12 communicates to the STB 16 the plurality of program identifiers independent of when the STB  
6 16 demodulates the program data identified by the plurality of program identifiers. The STB 16 is  
7 responsive to the plurality of program identifiers to modify at least one selected operation of the  
8 STB 16. In one embodiment, the plurality of program identifiers identify respective programs  
9 scheduled for recording by the DVR 12. In another embodiment, the plurality of program  
10 identifiers identify respective programs already recorded by the DVR 12. In one embodiment, the  
11 STB 16 uses the plurality of program identifiers to display the STB GUI, and in one embodiment,  
12 to display the EPG data. In another embodiment, the STB 16 uses the plurality of program  
13 identifiers to demodulate the program data identified by the program identifiers.

14 FIG. 5 shows an embodiment wherein a DVR 51 comprises an A/V file system 53 for  
15 storing the A/V data. The STB 16 retrieves the plurality of program identifiers from the DVR 51  
16 by accessing the A/V file system 53.

17 In another embodiment, the DVR 12 receives from the STB 16 information identifying a  
18 program selected by the user from the STB GUI. The DVR 12 modifies the plurality of program  
19 indicators in response to the program selected by the user from the STB GUI.

20 FIG. 6 is a flow diagram illustrating the aforementioned embodiments. At step 62 the  
21 system waits until the user selects the option to display the STB GUI. At step 64 the STB 16  
22 receives EPG data from a communication channel (e.g., over the same channel as the modulated  
23 program data or over a dedicated communication channel). At step 66 the STB 16 receives from  
24 the DVR 12 the plurality of program identifiers. At step 68 the STB 16 renders the STB GUI  
25 using the EPG data and the plurality of program identifiers received from the DVR 12, and at step  
26 70 the STB GUI is displayed on the monitor 14. If at step 72 the user selects a program to record  
27 from the STB GUI, then at step 74 the EPG data identifying the selected program is transmitted

1 to the DVR 12 and the DVR 12 updates the plurality of program identifiers so that the selected  
2 program will be recorded. Control then loops back to step 66 so that the STB GUI is updated to  
3 reflect the user's selection to record the program. The procedure exits when at step 76 the user  
4 elects to exit the STB GUI.

5 FIG. 7A shows a program guide displayed as part of a STB GUI according to an  
6 embodiment of the present invention. The program guide comprises a plurality of program  
7 identifiers for identifying programs broadcast on respective channels relative to the time of day  
8 (information derived from the EPG data). In addition, the program guide comprises recording  
9 indicators for identifying programs that have been recorded and programs scheduled for recording  
10 by the DVR 12. In one embodiment, the recording indicators are derived from the program  
11 identifiers received from the DVR 12. Displaying the program guide together with the recording  
12 indicators is not limited to the STB GUI; the program guide may be rendered by any suitable  
13 video component capable of processing EPG data, such as the DVR 12 or the monitor 14.

14 In the example of FIG. 7A, the time of day is 9:15pm. The program "Jack and June" has  
15 been recorded as indicated by the icon 78 comprising two filled circles. The program "Sports in  
16 Review" is currently being recorded as indicated by the icon 80 comprising a filled circle and an  
17 empty circle. The program "GO Fast" is scheduled for recording starting at 9:30pm as indicated  
18 by the icon 82 comprising two empty circles. This modification enhances the program guide by  
19 providing the user with program recording information together with the program guide  
20 information. Otherwise the user must exit the program guide and display another menu (e.g., a  
21 DVR menu) to view the program recording information which is inconvenient.

22 FIG. 7B shows an example DVR GUI according to an embodiment of the present  
23 invention. The DVR GUI comprises an advertisement 84 and five user selectable options. The  
24 "What's on TV" option 86 displays a menu of programs currently being broadcast together with  
25 the prerecorded programs in a user preferred format. The "My Recordings" option 88 displays a  
26 menu of recorded shows together with EPG data such as the actors, director, and brief synopsis  
27 of each program. The "Program Guide" option 90 returns the user to the STB GUI (e.g., the

STB GUI of FIG. 7A). The "Select Programs to Record" option 92 displays a menu that enables the user to search for programs to record. For example, the user may search for all "comedy" programs wherein the DVR GUI will display a list of all comedies broadcast over the available channels (as determined from the EPG data). The "Setup" option 94 displays a menu allowing the user to configure the DVR 12 (e.g., display movies in wide-screen or standard format).

FIG. 8 shows a STB GUI according to an alternative embodiment of the present invention. In this embodiment, the STB GUI comprises an option 96 for displaying the DVR GUI (e.g., the DVR GUI of FIG. 7B). In one embodiment, selecting the option 96 from the STB GUI is the only way to access the DVR GUI. In this manner, the user must go through the STB GUI to reach the DVR GUI ensuring that the content of the STB GUI (including advertising content) is always displayed to the user.

In another embodiment, the remote control 40 (FIG. 3A or 3B) for generating the user input comprises a first button for selecting the STB GUI to be displayed on the monitor 14 and a second button for selecting the DVR GUI to be displayed on the monitor 14. This embodiment allows the user to quickly switch between the two GUIs by selecting the appropriate buttons on the remote control 40. Referring again to FIG. 3A, when the user presses the button to display the STB GUI, the STB 16 communicates a command to the DVR 12 requesting focus of the monitor 14 so that the DVR will display the A/V signal 35 generated by the STB 16, including the embedded STB GUI. When the user presses the button to display the DVR GUI, the STB 16 communicates a command to the DVR 12 directing it to take focus of the monitor 14 by displaying its internal A/V signal (e.g., A/V signal 43 of FIG. 2C), including the embedded DVR GUI.

In one embodiment, the DVR 12 executes a computer program embodied on a computer readable storage medium (e.g., local memory 22). The computer program comprises code segments for receiving the program data from the STB 16, and for storing the program data in the local memory 22. The computer program further comprises code segments for communicating control data to direct the STB 16 to tune to a selected channel, and for receiving a channel-

2 In another embodiment, the STB 16 executes a computer program embodied on a  
3 computer readable storage medium (e.g., a non-volatile semiconductor memory connected to the  
4 STB controller 32). The computer program comprises code segments for demodulating program  
5 data by tuning to at least one of a plurality of channels, and for communicating the program data  
6 to the DVR 12 for recording. The computer program further comprises code segments for  
7 receiving control data from the DVR 12 for directing the STB 16 to tune to a selected channel,  
8 and for communicating a channel-change event to the DVR 12 in connection with the STB 16  
9 changing the tuned channel.